

**Environmental and Social Action Plan (ESAP)  
AZVI's Supply Chain Finance Facility – CHILE and MEXICO (REGIONAL)**

No.	Aspect	Action	Deliverable	Delivery date
<b>PS 1: Assessment and Management of Environmental and Social Risks and Impacts</b>				
1.1	Project's Regulatory Compliance	1. Prepare a consolidated annual report on the compliance status of: (i) environmental and social (E&S) and occupational health and safety (OHS) policies and measures, applicable to the Project, including the progress of the actions of the Environmental Management System (EMS) and the Environmental Management Plans (EMPs), with regard to the established KPIs; and (ii) IDB Invest's Environmental and Social Sustainability Policy.	1. Consolidated report	1. As part of the Environmental and Social Compliance Report (ESCR).
<b>PS 4: Community Health, Safety, and Security</b>				
4.1	Emergency Preparedness and Response	1. Inform the communities, when applicable, of the results of the risk assessments and emergency response drills conducted by the Company.	1. Documented evidence of disclosure to the Communities	1. As part of the ESCR.
4.2	External Security Policy and Protocols	1. For facilities or work sites that require physical security during construction, include in the contracts signed with security and surveillance companies, provisions that allow the Company to: (i) conduct reasonable investigations that ensure that security personnel do not have criminal records and have not been involved in cases of abuse; (ii) verify the details of the necessary training on the use of force; (iii) verify the restrictions in the use of firearms, and (iv) identify the details of environmental training and social awareness including the issue of respect for human rights <sup>11</sup> .	1. Contracts with the security and surveillance companies.	1. 15 days prior to signing the service contract with the security and surveillance companies.
<b>PS 5: Land Acquisition and Involuntary Resettlement</b>				
5.1	Land Acquisition	1. Prior to the acquisition any new land for the Project's works and infrastructure, verify that: (i) negotiated agreements are carried out in a free market condition and with the informed consent of participants; and (ii) there is no physical (relocation or loss of dwellings) or economic (loss of assets or loss of access to assets that results in the loss of income or other means of livelihood) displacement of people, even in the event that they do not have any formal rights over the land they occupy or use, or which enables them to access other resources.	1. Documented evidence that the conditions of the new land for the Project's works and infrastructure, have been verified.	1. Prior to acquiring land for the Project's works and infrastructure.

<sup>1</sup> Based on the IFC's "Good Practice Handbook. Use of Security Forces: Assessing and Managing Risks and Impacts. Guidance for the Private Sector in Emerging Markets."